

COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

Date/Time Stamp RECEIVED SECRETARY OF THE SENATE PUBLIC RECORDS 2019 MAR -4 PM 1:03

Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the Office of Public Records in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Name of Traveler: Lane Bodian

Employing Office/Committee: Senator Schumer

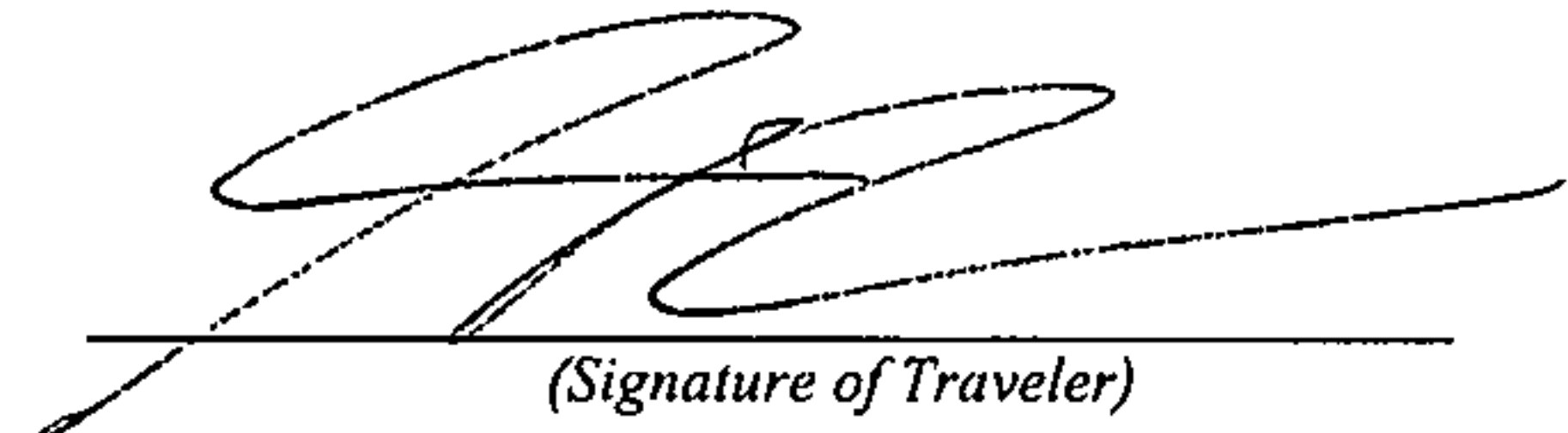
Travel Expenses Paid by (List all sources): _____

Travel Date(s): Saturday, Oct. 27-Saturday, Nov. 3, 2018

Description/Title of Attached Forms: Private Sponsor Travel Certification Form

Purpose of Amendment (describe the reason for amending original submission): Submission of final Private Sponsor Travel Certification Form.

3/4/19
(Date)


(Signature of Traveler)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

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1. Sponsor(s) of the trip (please list all sponsors): United Nations Foundation
 2. Description of the trip: Please see attached.
 3. Dates of travel: Saturday, Oct. 27 - Saturday, Nov. 3, 2018
 4. Place of travel: Bamako, Gao, and Timbuktu, Mali
 5. Name and title of Senate invitees: Please see attached.
 6. I *certify* that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
 - OR -
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
 7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
 - AND -
 - ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
 8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
 - AND -
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The UN Foundation is the sole sponsor of the trip, organizing all aspects of the program and logistics.

UNF handles all outreach to congressional staff and is the contact for planning purposes.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

Please see attached.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

UNF regularly sponsors congressional learning trips to observe the UN's work abroad. From 2013-2018,

UNF organized trips to Cameroon, Liberia, Haiti, South Sudan, Rwanda, DR Congo, CAR and

Bangladesh, for example. Each trip focused on issues related to global health or peacekeeping.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

UNF regularly hosts/sponsors Capitol Hill briefings, forums, and related events to educate policymakers, businesses, NGOs, and the general public on the UN's role in advancing American interests abroad.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$2600	\$665 15 nights = 133/night 133 per room	\$630 6 days + 2 travel days 105 per diem	\$131 (Mall visa)

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

This trip involves an event that is arranged specifically WITH regard to congressional participation.

18. Reason for selecting the location of the event or trip

Please see attached.

19. Name and location of hotel or other lodging facility:

Sheraton Hotel Hamdallaye, ACI 2000 En face de la Cité Administrative, Bamako

20. Reason(s) for selecting hotel or other lodging facility:

Favorable price, location, and security concerns.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Our daily expenses will be at or below the State Department's 2018 per diem rates for Bamako, Mali

(\$105 for M&IE and \$133 for lodging).

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

The delegation will fly economy or economy plus round-trip from DC to Bamako.

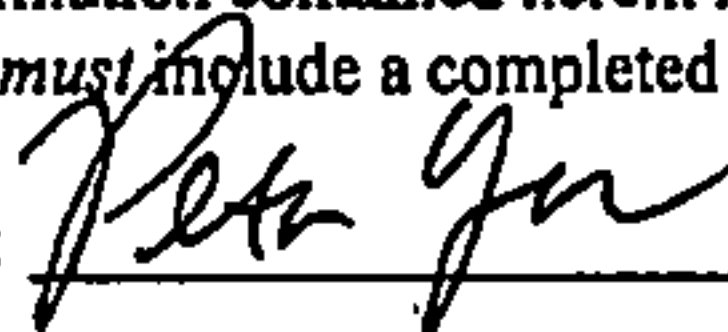
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:



Name and Title: Peter Yeo, Senior Vice President

Name of Organization: United Nations Foundation

Address: 1750 Pennsylvania Ave NW Suite 300

Telephone Number: 202-887-9040

Fax Number: 202-887-9021

E-mail Address: mspangler@unfoundation.org

UN Foundation Peacekeeping Learning Trip to Mali

Answers to PSTCF Questions 2, 5, 13, and 18

2. Description of the trip:

The UN Multidimensional Integrated Stabilization Mission in Mali (MINUSMA) is one of the UN's largest peacekeeping missions, with over 12,000 troops, police officers, and civilian personnel working in a country roughly the size of Texas and California combined. Over the last five years, MINUSMA has been tasked with supporting efforts to restore Mali's democratic government, constitutional order, and protect civilians following a military coup, a secular rebellion, and an influx of violence initiated by radical Islamic terrorist groups.

In August, the UN helped organize the second democratic presidential election since MINUSMA first deployed in 2013. While there were minor incidents of violence and irregularities during the two rounds of voting, the process was lauded as largely successful and peaceful. As President Boubacacar Keita now begins his second term, the UN will continue providing critically needed security infrastructure, monitoring the implementation of ceasefire agreements, facilitating political reconciliation, and assisting in the integration of displaced persons.

This trip will be an opportunity to see these efforts in action and gain valuable, firsthand updates on the political situation in Mali. While in-country the delegation will conduct site visits and meet with the MINUSMA leadership team, U.S. Embassy leadership team, U.S. servicemembers, Malian authorities, staff from various UN agencies operating on the ground, Western allies (French, German, and Canadian forces), and key members of civil society, providing the delegation with a wide-range of experiences that demonstrate how the U.S. and UN are working together to promote peace and stability in this extremely conflict-ridden region.

5. Name and title of Senate invitees:

Lane Bodian, Foreign Policy Legislative Assistant
Sen. Charles Schumer

Chris Socha, Foreign Policy Advisor
Sen. Jim Risch

Matt Rimkunas, Legislative Director
Sen. Lindsey Graham

Blake Souter, Foreign Policy Legislative Assistant
Sen. Tim Kaine

Maggie McNamara, Clerk
Senate Armed Services Committee

Charlotte Oldham-Moore, Senior Professional Staff Member
~~Senate Foreign Relations Committee*~~

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

UNF works to educate all Americans, including the U.S. Congress, on the importance of a strong U.S.-UN relationship, with a particular focus on UN peacekeeping operations. This learning trip provides congressional staff an opportunity to visit the Multidimensional Integrated Stabilization Mission (MINUSMA). The participants will meet with high-level UN and U.S. officials, visit peacekeepers in the field, and learn about ongoing development, governance, and security issues and challenges in the Sahel.

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Return of Organization Exempt From Income Tax

OMB No 1545-0047

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990 and its instructions is at www.irs.gov/form990

For the 2016 calendar year, or tax year beginning 01-01-2016, and ending 12-31-2016

Check if applicable: Address change Name change Initial return Final return/terminated Amended return Application pending	C Name of organization UNITED NATIONS FOUNDATION INC		D Employer identification number 58-2368165
	% UN FDN COO Doing business as		
	Number and street (or P.O. box if mail is not delivered to street address) 1750 PENNSYLVANIA AVENUE NW Suite	Room/suite	E Telephone number (202) 887-9040
	City or town, state or province, country, and ZIP or foreign postal code WASHINGTON, DC 20006		G Gross receipts \$ 124,538,361
F Name and address of principal officer KATHRYN CALVIN WALTERS 1750 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20006		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "No," attach a list (see instructions) H(c) Group exemption number ▶	
Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c)() (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
Website: ▶ WWW.UNFOUNDATION.ORG			
Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation 1998	M State of legal domicile NY

Part I Summary

1 Briefly describe the organization's mission or most significant activities
UNF SUPPORTS UN CAUSES AND ACTIVITIES WE ARE AN ADVOCATE FOR THE UN AND A PLATFORM FOR CONNECTING PEOPLE, IDEAS AND RESOURCES TO HELP THE UN SOLVE GLOBAL PROBLEMS

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a)	3	15
4 Number of independent voting members of the governing body (Part VI, line 1b)	4	13
5 Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	333
6 Total number of volunteers (estimate if necessary)	6	13
7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	20,783
7b Net unrelated business taxable income from Form 990-T, line 34	7b	11,497

	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	96,642,511	91,759,666
9 Program service revenue (Part VIII, line 2g)	287,335	589,169
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	4,247,379	5,233,694
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	21,228	76,735
12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	101,198,453	97,659,264
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	63,210,163	50,727,382
14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	27,115,197	27,695,937
16a Professional fundraising fees (Part IX, column (A), line 11e)	83,816	94,530
b Total fundraising expenses (Part IX, column (D), line 25) ▶ 6,162,157		
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	49,053,882	38,522,770
18 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	139,463,058	117,040,619
19 Revenue less expenses Subtract line 18 from line 12	-38,264,605	-19,381,355
	Beginning of Current Year	End of Year
20 Total assets (Part X, line 16)	347,447,985	320,983,405
21 Total liabilities (Part X, line 26)	28,227,480	24,509,835
22 Net assets or fund balances Subtract line 21 from line 20	319,220,505	296,473,570

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has knowledge.

Signature of officer 2017-08-02
Date
RICHARD S PARNELL COO
Type or print name and title